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| UTILITY PATENT APPLICATION TRANSMITTAL <small>Only for new nonprovisional applications under 37 CFR 1.53(b)</small> | | Attorney Docket No. 217636US0 |
| | | First Inventor or Application Identifier Shigeo NODZUKI, et al. |
| | | Title SEMICONDUCTOR DEVICE AND PACKAGE THEREOF |
| | | Assignee Name: KABUSHIKI KAISHA TOSHIBA Assignee Address: 1-1, Shibaura 1-Chome, Minato-Ku, Tokyo, Japan |

U.S. PRO 020981 12/19/01

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| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i> | | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) | | ACCOMPANYING APPLICATION PARTS | |
| 2. <input checked="" type="checkbox"/> Specification Total Sheets 15 | | 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 9 | | 8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | |
| 4. <input type="checkbox"/> Oath or Declaration Total Pages | | 9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <small>(when there is an assignee)</small> <input type="checkbox"/> Power of Attorney | |
| a. <input type="checkbox"/> Newly executed (original or copy) | | 10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> | |
| b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <i>(for continuation/divisional with box 17 completed)</i> | | 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</small> | | 12. <input type="checkbox"/> Preliminary Amendment | |
| 5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | 13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard | |
| 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> | | 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> | |
| a. <input type="checkbox"/> Computer Readable Form (CRF) | | 15. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27</i> | |
| b. Specification or Sequence Listing on : | | 16. <input checked="" type="checkbox"/> Other: Statement Re: Filing in Foreign Language | |
| i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or | | | |
| ii. <input type="checkbox"/> Paper | | | |
| c. <input type="checkbox"/> Statements verifying identity of above copies | | | |
| 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: | | | |
| <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: <i>Prior application information:</i> Examiner: Group Art Unit: | | | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | |
| 18. Amend the specification by inserting before the first line the sentence: <input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on <input type="checkbox"/> Which was published in English <input type="checkbox"/> Which was not published in English <input type="checkbox"/> This application claims priority of provisional application Serial No. Filed | | | |
| 19. CORRESPONDENCE ADDRESS  22850 (703) 413-3000 FACSIMILE: (703) 413-2220 | | | |

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|-------------------|---|-------------------|--------|
| Name: | Norman F. Oblon | Registration No.: | 24,618 |
| Signature: |  | | |
| Name: | C. Jivin McClelland | | |
| Registration No.: | 21,124 | | |

Docket No. 217636US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Shigeo KOUZUKI, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SEMICONDUCTOR DEVICE AND PACKAGE THEREOF

FEES TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|--|--------------|--------------|-----------------------------|--------------|
| TOTAL CLAIMS | 20 - 20 = | 0 | × \$18 = | \$0.00 |
| INDEPENDENT CLAIMS | 3 - 3 = | 0 | × \$84 = | \$0.00 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable) | | | + \$280 = | \$0.00 |
| <input checked="" type="checkbox"/> LATE FILING OF DECLARATION | | | + \$130 = | \$130.00 |
| | | | BASIC FEE | \$740.00 |
| | | | TOTAL OF ABOVE CALCULATIONS | \$870.00 |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | \$0.00 |
| <input checked="" type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE | | | + \$130 = | \$130.00 |
| <input type="checkbox"/> RECORDATION OF ASSIGNMENT | | | + \$40 = | \$0.00 |
| | | | TOTAL | \$1,000.00 |

Please charge Deposit Account No. 15-0030 in the amount of \$0.00 A duplicate copy of this sheet is enclosed.

A check in the amount of \$1,000.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date: 12/19/01

Norman F. Oblon

Registration No. 24,618

C. Irvin McClelland
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Docket No. 217636US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shigeo KOUZUKI, et al.

SERIAL NO. NEW APPLICATION

FILING DATE: HEREWITH

FOR: SEMICONDUCTOR DEVICE AND PACKAGE THEREOF

STATEMENT RE FILING IN FOREIGN LANGUAGE

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

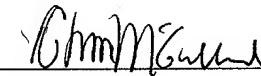
SIR:

It is hereby stated that the subject application is being filed in a foreign language, in accordance with the provisions of 37 CFR 1.52(d).

An accurate English translation, and a suitable amendment placing the application and claims thereof into proper U.S. format if needed, will be filed in due course.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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C. Irvin McClelland
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PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
Fee Record Sheet

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| 01 FC:101 | 740.00 | OP |
| 02 FC:105 | 130.00 | OP |
| 03 FC:139 | 130.00 | OP |

PTO-1556

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U.S. GPO: 2000-468-987/39595